

**OPERATIONAL GUIDELINES FOR REIMBURSEMENT OF
CAPITAL INVESTMENT SUBSIDY ON ENVIRONMENTAL PROTECTION
INFRASTRUCTURE SUBSIDY UNDER PHARMACEUTICALS POLICY 2016**
(See Para 4.2 & 5.B of Pharmaceuticals Policy 2016)

1. **Short Title:** - Operational guidelines for reimbursement of Capital Investment Subsidy on Environmental Protection Infrastructure towards Zero Effluent/ Waste Water Discharge (ZLD) under Pharmaceuticals Policy 2016.
2. **Extent:** -It shall extend to the whole of the State of Odisha.
3. **Commencement:** - It shall come into force from the "Effective Date" of Pharmaceuticals Policy 2016 i.e. **24.11.2016**.
4. **Terms and Expressions:** -Terms and expressions used in this operational guideline, but not specifically defined / explained here, shall have the same meaning as in Pharmaceuticals Policy 2016.
5. **Definitions / Explanations:-**
Zero Effluent/ Waste Water Discharge (ZLD). based treatment mean the effluent after treatment in effluent treatment plant is recycled, re-used in industrial/ or domestic purpose or evaporated without discharge to outside the factory premises but exclude for use in irrigation, horticulture, injection/ charging into ground water table.
6. **Policy Provisions-**
Capital Investment Subsidy reimbursement for ZLD:-
 - 6.1 For adopting Zero Effluent/ Waste Water Discharge (ZLD) facilities(Common Effluent Treatment Plant, Incinerator, etc), the developer will be eligible for additional environmental protection infrastructure subsidy of Rs. 2.00 crore or 50% of cost of such common facilities, whichever is less, provided the developer has availed full support. Cost of such infrastructure should be computed separately.
 - 6.2 New individual manufacturing units in pharmaceutical sector adopting Zero Effluent / Waste Water Discharge shall be eligible for an environmental protection infrastructure subsidy of Rs. 50 lakhs or 20% of capital cost, whichever is less.
7. **Eligibility: -**
 - a. Manufacturing Industrial units in Pharmaceutical Sector
 - Satisfying definition and falling under New Micro, Small, Medium Enterprises and Large Industrial Units; and
 - Certified by State Pollution Control Board, Odisha.
 - b. Developers who have availed full support of Capital grant to support infrastructure under IPR 2015 and requiring further grant towards development of common facility for ZLD.
 - Certified by State Pollution Control Board, Odisha.

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8. Time frame for filing application: -

8.1 The eligible Industrial unit/ Developer shall file Application in prescribed form for reimbursement of CIS on ZLD within 1 year from the date of operationalization of Pollution Control Equipment.

8.2 Application in the prescribed form received after the due date / incomplete in any respect shall be liable to be summarily rejected.

8.3 However, condonation of delay in implementation of the project may be considered by the Government on case to case basis where the delay is beyond the control of the entrepreneur.

9. Procedure:-

9.1 ZLD for Developers in Pharmaceutical Sector

9.1.1 Developers in Pharmaceutical Sector satisfying the eligibility shall file application in the prescribed form appended to this operational guideline at Annexure -'A' along with copies of all relevant documents as mentioned in the Checklist at Annexure - 'B' and Undertaking prescribed at Annexure A-1 as per the following category **Developers** shall file application in triplicate with Assistant Manager, IPICOL.

Copies of the documents as indicated in the checklist shall be self - attested by Proprietor / Managing Partner / Managing Director / Authorized Signatory.

9.1.2 On receipt of application, the acknowledgement as prescribed at Annexure- 'C' shall be dispatched to the applicant / applicant unit duly signed by the Assistant Manager, IPICOL on the day of receipt.

9.1.3 After receipt of the application from the Developer, **IPICOL shall transmit** one set of application with relevant documents to State Pollution Control Board (SPCB) for certification of ZLD within **3 days** from the date of receipt of application for certification by SPCB.

9.1.4 The SPCB has to furnish the required certificate in prescribed format at Annexure-D within next **15 days** to IPICOL for placement before the committee to be constituted by Government for examining and granting subsidy on operationalization of Capital Grant to support Quality Infrastructure under Industrial Policy Resolution, 2015 with the same mandate.

There shall be a State Level Committee with following Composition & Functions:

S. No	Designation	Position
1	Chief Secretary	Chairperson
2	Principal Secretary/Commissioner – cum-Secretary, Industries Department	Member
3	Principal Secretary/Commissioner- cum-Secretary Revenue and Disaster Management Department	Member

4	Principal Secretary/Commissioner-cum-Secretary Housing and Urban Development Department	Member	
5	Principal Secretary/Commissioner-cum-Secretary, MSME Department	Member	
6	CMD / Managing Director, IDCO	Member	
7	CMD/Chairman/Managing Director, IPICOL	Member Convener	
8	Director of Industries	Member	
9	Any other member to be co-opted as required		

9.2 ZLD for Manufacturing Industrial units in Pharmaceutical Sector

9.2.1 Manufacturing Industrial units in Pharmaceutical Sector satisfying the eligibility shall file application in the prescribed form appended to this operational guideline at Annexure -'A' along with copies of all relevant documents as mentioned in the Checklist at Annexure -'B' and Undertaking prescribed at Annexure A-1as per the following category

New Individual Manufacturing Units in Pharmaceutical Sector shall file application in triplicate with General Manager, RIC / DIC and **Large Industrial Units** be filed with Assistant Manager, IPICOL.

Copies of the documents as indicated in the checklist shall be self - attested by Proprietor / Managing Partner / Managing Director / Authorized Signatory.

9.2.2 On receipt of application, the acknowledgement as prescribed at Annexure-'C' shall be dispatched to the applicant / applicant unit duly signed by the authorized officer / General Manager, RIC / DIC or Assistant Manager, IPICOL on the day of receipt. General Manager, RIC /DIC may authorize any of his officers for the purpose.

9.2.3 After receipt of the application from the industrial unit, **RIC / DIC or IPICOL shall transmit** one set of application with relevant documents to State Pollution Control Board (SPCB) for certification of ZLD within **3 days** from the date of receipt of application for certification by SPCB. Further, **RIC / DIC or IPICOL shall recommend** another set of application to the Director of Industries, Odisha after due examination on eligibility and veracity of facts concerning to the claim.

9.2.4 The SPCB has to furnish the required certificate in prescribed format at Annexure-D within next **15 days** to Director of Industries, Odisha under intimation to concerned RIC / DIC IPICOL as the case may be.

10. Sanction:-

10.1 Sanction of reimbursement of eligible of amount to Developers shall be accorded by Industries Department on receipt of approval by the State Level Committee from IPICOL.

- 10.2 (a) Sanction for reimbursement of eligible amount of CIS on ZLD paid may be accorded in favour of eligible Units by a State Level Sanctioning Committee constituted as below;

“State Level Sanctioning Committee (SLSC)” means a committee comprising of

Sl. No	Designation	Position
1	Director of Industries, Odisha	Chairman
2	Drug Controller, Odisha	Member
3	Joint Director of Industries/ Additional Director of Industries	Member Convener
4	General Manager (SLNA), IPICOL	Member
5	Senior Environmental Engineer of OSPCB	Member

- (b) The sanction order will be communicated to the industrial unit within 7 days by Director of Industries in the format as prescribed in the **Annexure-E**.

11. Disbursement: -

- 11.1 Disbursement of the sanctioned amount to Developer shall be made by Industries Department *subject to availability of budget provisions*.
- 11.2 Disbursement of sanctioned amount for reimbursement of CIS on ZLD shall be made by Director of Industries, Odisha;
- in two equal installments, the 1st installment immediately after approval of SLSC and 2nd installment shall disbursed after completion of one year of continuous operation of Effluent Treatment Plant (ETP) after obtaining a certificate from the SPCB.
 - However, the disbursement may be deferred if the unit is found closed and may be effected on resumption of production.

12. **Rejection:** - In case of rejection of application, the reasons of rejection shall be communicated to the applicant unit within 30 days of determination of eligibility by the respective agencies in the format prescribed at Annexure – 'F'.

13. **Recovery:** -The amount disbursed towards reimbursement of CIS on ZLD and or any part thereof shall be recoverable with penal interest of 18% per annum from the date from which the subsidy is availed.

- If the information furnished is found to be false/ incorrect / misleading or misrepresented and there has been suppression of facts / materials or disbursed in excess of the amount actually admissible for whatsoever reason.
- If the industrial unit goes out of production for a period exceeding one year continuously for any reasons other than labour troubles, want of electric power or for the reason which is beyond the control of entrepreneur / management during the period of incentives.
- If any part of Plant & machinery is disposed of and not reported which affects the maximum limit of CIS on ZLD reimbursement during the period of incentives.

14. The eligible units under the scheme may be identified by RIC /DIC / DI Odisha / IPICOL well in advance. If any unit is found to be deliberately furnishing wrong / false information, the incentives provided shall be withdrawn from under intimation to MSME Department / Industries Department / Director of Industries, Odisha / General Manager, RIC / DIC / IPICOL as the case may be.

15. Miscellaneous:-

15.1 Industrial Unit shall furnish its audited financial statements and other periodical statements of each financial year to the RIC / DIC / Directorate of Industries / IPICOL, Odisha during the period of incentives.

15.2 Any change in facts or circumstances affecting the eligibility of the unit shall be intimated immediately to the RIC / DIC / Directorate of Industries, Odisha / IPICOL by the unit.

16. This has been concurred in by Finance Department vide their UOR No.03/ES-II dated 07.01.2017.

Government of Odisha
Industries Department

Memo No 775 /I., Bhubaneswar, dated 2/2/17
XIV-HI-02/2017

Copy forwarded to All Department/All Heads of Department/All PSUs/
All RDCs/ All Collectors/All DICs/All RICs/ Head, Portal Group, IT Centre,
Secretariat, Bhubaneswar/All Section of Industries Department/Guard File(5 Copies)
for information & necessary action.


Joint Secretary to Government.

APPLICATION FOR REIMBURSEMENT OF CAPITAL INVESTMENT SUBSIDY ON ZERO EFFLUENT/ WASTE WATER DISCHARGE (ZLD) BASED TREATMENT PLANT UNDER PHARMACEUTICALS POLICY- 2016

Application received after the due date /incomplete in any respect shall be liable for rejection
(Strike out whichever is not applicable)

M/s. _____

At/PO _____

Dist. _____

(Location of the Industrial Unit)

To

The General Manager,

Regional Industries Centre / District Industries Centre - - - - -

The Assistant Manager,

IPICOL, Bhubaneswar

Sub: Application for reimbursement of Capital Investment Subsidy on Zero Effluent/
Waste Water Discharge (ZLD) Based Treatment Plant under Pharmaceuticals
Policy- 2016.

Sir,

In accordance with the provisions laid down in Industrial Policy Resolution-2015 and its operational guidelines, the claim is submitted with following particulars.

1	Category of the Unit	:			
	A	New Micro Enterprise			
	B	New Small Enterprise			
	C	New Medium Enterprises			
	D	New Large Industries			
2	Address of Registered office	:			
3	Type of organization (Proprietorship / Partnership / Co-operative / Private Limited / Public Limited)	:			
4	Name of Proprietor / Managing Partner / Managing Director / Authorized Signatory	:			
5	IEM / Entrepreneurs Identification No / Production Certificate / Udyog Aadhar No. and date	:			
6	Items of manufacture / activity	:			
			Item (s)	Quantity	Value
7	Date of commencement of production	:			

8	Date of first fixed capital investment i.e. land / building / plant & machinery and balancing equipment.	:	
9	Investment in Pollution Control Equipment	:	
10	Name of the Financial Institution (s) / Bank(s)	:	
11	Employment Generated		
12	Registration Number & Date (Issued by Commercial Tax Authority) TIN (Tax Payer's Identification number)	:	
13	A/c No. of the Industrial unit		
14	Name of Bank Branch with IFSC code & MICR No		

I, Sri _____ s/o _____ at present _____ (designation) of M/S _____ (name of the industrial unit) certify that the information furnished as above is true and correct to the best of my knowledge and belief.

Copies of relevant documents in support of information / facts furnished above are enclosed herewith.

Signature of the Proprietor / Managing Partner /
Managing Director / Authorised Signatory of
M/s -----

Place-
Date-

UNDERTAKING

(Strike out whichever is not applicable)

From**M/s.** _____**At/PO** _____**Dist.** _____

- i) I / We hereby undertake that claim for reimbursement of CIS on ZLD for Rs. -----
(in words) has been received.
- ii) I / We shall abide by the terms and conditions prescribed under the provisions of Pharmaceuticals Policy 2016 and its operational guidelines.
- iii) I / We shall repay the CIS on ZLD or any part thereof with penal interest as decided by the authority;
- (a) If the information stated in the application & supporting documents is found to be false/ incorrect / misleading or misrepresented and there has been suppression of facts / materials or if found to have been disbursed in excess of the amount actually admissible for whatsoever reason.
- (b) If the industrial unit goes out of production for a period exceeding one year continuously for any reasons other than labour troubles, want of electric power or for the reason which is beyond the control of entrepreneur / management during the period of incentives.
- iv) This industrial unit has not applied / availed CIS on ZLD in any manner under any other scheme of the State Govt. or the Central Govt. or any Financial Institution(s).

I / we shall furnish its audited financial statements and other periodical statements of each financial year to the RIC / DIC / Directorate of Industries, Odisha / IPICOL during the period of incentives

**Signature of the Proprietor / Managing Partner /
Managing Director / Authorised Signatory of**

M/s _____

Date-

Annexure A-2

OFFICE OF THE STATE POLLUTION CONTROL BOARD, ODISHA, BHUBANESWAR

Letter no----- Date-----

(Strike out whichever is not applicable)

Certified that M/s _____ has installed
Pollution Control Equipment of Rs _____ (in words) Rupees
_____ as detailed below for ZLD.

Sl. No	*Waste water Treatment options implemented	Amount (in Rs.)
1		
2		
3		
4		
5		
6		
Total amount in Rs.		

Signature of Member Secretary,
State Pollution Control Board or his representative
with seal & date.

***WASTE WATER TREATEMENT OPTIONS (For Reference only)**

1. Primary Treatment Options

- Screens, Traps, Grit Chambers
- Septic Tanks, Imhoff Tanks
- Sedimentation Tanks
- Anaerobic Baffle Reactors/Filters (BORDA, 2009)

2. Secondary Treatment Options

- Activated Sludge Process
- Trickling Filters
- Waste Stabilization Ponds
- Aerobic Lagoons
- Constructed Wetlands
- Rotating Biological Contractors
- Fluidised Aerated Bed (FAB) Reactor
- Sequencing Batch Reactors (SBR)
- Membrane Bio Reactors (MBR)
- Moving Bed Bio Reactors (MBBR)

3. Tertiary Treatment Options

- Chlorination
- Ozonation
- Membrane Filtration
 - Micro-filtration membrane
 - Ultra-filtration membrane
 - Nano-filtration membrane
 - Reverse Osmosis

Based on Cost-Benefit or Cost-Effectiveness and requirements, a system of Wastewater Treatment can be designed from the above available options.

CHECK LIST

Copies of documents to be attached with the application shall be self-attested by Proprietor / Managing Partner/Managing Director / Authorized Signatory
(Strike out whichever is not applicable)

1	IEM / Entrepreneurs Identification No / Production Certificate / Udyog Aadhar No
2	Power of Attorney / Board Resolution / Society Resolution, as applicable, while signing as Partner / Managing Director / Authorized person.
3	Certificate of registration under Indian Partnership Act1932 / Societies Registration Act- 1860 / Certificate of incorporation (Memorandum of association & Article of Association) under Company Act-1956
4	Document in support of date of first investment in fixed capital i.e. land / building / plant & machinery and balancing equipment
5	Approved DPR / Project Profile / Scheme –for the project clearly specifying the list of Pollution Control Equipments used.
6	Document in support of date of first investment in fixed capital i.e. land / building / plant & machinery and balancing equipment
7	Term loan sanction order of OSFC / Banks / FI
8	Undertaking in the format prescribed at Annexure A-1
9	List of Pollution Control Equipments used.
10	Utilization Details in respect of support of Capital grant to support infrastructure under IPR 2015 (Applicable for Developer only)

Annexure –C
(FOR OFFICE USE)

OFFICE OF THE GENERAL MANAGER, RIC / DIC----- /

Letter No.----- / Date-----

Acknowledgement

(To be issued by authorized officer/General Manager, RIC / DIC / IPICOL on the day of receipt)

(Strike out whichever is not applicable)

To

Sri _____

M/s. _____

Received the application for reimbursement of CIS for ZLD under the provisions of Pharmaceuticals Policy 2016 and its operational guidelines along with documents mentioned below from M/s----- At/PO-----Dist. ____ on dt. ----through post / person.

List of documents

- 1.
- 2.
- 3.

Signature of authorized officer / General Manager, **RIC/ DIC / IPICOL**
with seal & date-----

Annexure –C

(TO BE DISPATCHED TO THE APPLICANT)

OFFICE OF THE GENERAL MANAGER, RIC / DIC----- /

Letter No.----- / Date-----

Acknowledgement

(To be issued by authorized officer / General Manager, RIC / DIC / IPICOL on the day of receipt)

(Strike out whichever is not applicable)

To

Sri _____

M/s. _____

Received the application for reimbursement of CIS for ZLD under the provisions of Pharmaceuticals Policy 2016 and its operational guidelines along with documents mentioned below from M/s----- At/PO-----Dist. _____ on dt. -----through post / person.

List of documents

- 1.
- 2.
- 3.

Signature of authorized officer / General Manager, **RIC/ DIC / IPICOL**
with seal & date-----

VALUATION OF COST OF PLANT & MACHINERY
(Strike out whichever is not applicable)

1. We have verified the capital invested by M/s ----- located at --
----- bearing EM-II / IEM / I.L No----- Dt. ----- and the valuation of items
utilized for ZLD unit vis-à-vis schematic provisions is given here under.

Sl. No.	Items of fixed assets	As per Scheme	Actual incurred	expenditure
1				
2				
3				
4				
5				
6				
	Total			

2. Justification for excess investment, if any.--

(Excess investment without proper justification shall not be considered for calculation for the purpose of reimbursement of CIS on ZLD)

Signature & designation of authorized officer of
IPICOL / D I, Odisha / General Manager, RIC / DIC -

Date

INDUSTRIES DEPARTMENT /
OFFICE OF THE DIRECTOR OF INDUSTRIES, ODISHA
(Strike out whichever is not applicable)

Sanction Order

Order No. _____ / Date: _____

1. Sanction is hereby accorded for fifty percent / hundred percent reimbursement of CIS on ZLD paid for Rs. _____ In words (Rupees _____) only in favour of M/s. _____ At: _____ PO: _____ Dist.: _____ under provisions of Para ----- of Pharmaceuticals Policy, 2016.

2. The amount sanctioned here is within / equal to ceiling provided in the policy and approved by appropriate Committee

3. The unit falls under the category of -

New Micro, Small, Medium Enterprises and Large Industrial Units / Developers who have availed full support of Capital grant to support infrastructure under IPR 2015 for the purpose of this Pharmaceuticals Policy.

FA-cum-Joint Secretary /
Director of Industries, Odisha

Memo No. _____ Dt. _____

Copy forwarded to Sri _____ Proprietor / Mg Partner / Mg Director / Authorized Signatory of M/s----- At: _____ PO _____ Dist.: _____ for information.

FA-cum-Joint Secretary /
Director of Industries, Odisha

Memo No. _____ Dt. _____

Copy forwarded to State Pollution Control Board, Odisha, Bhubaneswar for information & necessary action.

FA-cum-Joint Secretary /
Director of Industries, Odisha

Memo No. _____ Dt. _____

Copy forwarded to General Manager, RIC / DIC _____ for information and necessary action.

FA-cum-Joint Secretary /
Director of Industries, Odisha

Memo No. _____ Dt. _____

Copy forwarded to Managing Director, IPICOL, IPICOL House, Janapath, BBSR for information and necessary action.

FA-cum-Joint Secretary /
Director of Industries, Odisha

Memo No. _____ Dt. _____

Copy forwarded to Secretary to Government, Industries Department / MSME Department for information.

FA-cum-Joint Secretary /
Director of Industries, Odisha

Memo No. _____ Dt. _____

Copy forwarded to Record Keeper / Guard File for information.

FA-cum-Joint Secretary /
Director of Industries, Odisha

OFFICE OF THE GENERAL MANAGER, RIC / DIC----- /
INDUSTRIAL PROMOTION AND INVESTMENT CORPORATION OF ODISHA LIMITED,
IPICOL HOUSE, JANPATH, BHUBANESWAR. /
OFFICE OF THE DIRECTOR OF INDUSTRIES, ODISHA, CUTTACK.

Letter No.----- / Date-----
(Strike out whichever is not applicable)

To

Sri _____
M/s. _____
At _____
PO _____
Sub-Division _____
Dist. _____
(Location of the Industrial Unit)

Sir,

This is to inform that your application for sanction -----
-----filed on dt. _____ under Pharmaceuticals Policy-2016 is rejected due to
following reasons.

(Specify the reasons)

- 1.
- 2.
- 3.
- 4.

Signature of General Manager, RIC / DIC----- /
Authorized Officer of the IPICOL /
Director of Industries, Odisha
with seal & date-----.

BJRPD 8471P