Operational Guidelines for Pioneer Units under IPR, 2015.

(See Para- 17 of Definitions and Interpretations- Annexure-I of IPR, 2015)

- Short Title: Operational guidelines for grant of Pioneer unit status under the provision of Para -16 of Definitions and Interpretations of Annexure-I of Industrial Policy Resolution-2015.
- 2. Extent: It shall extend to the whole of the state of Odisha
- Commencement: It shall come into force from the "Effective Date" of Industrial Policy Resolution – 2015 i.e. 24.08.2015.
- 4. Terms & Expressions: Terms & expressions used in this operational guideline, but not specifically defined / explained here, shall have the same meaning as in Industrial Policy Resolution—2015.

5. Policy Provisions:-

"Pioneer' units" mean the first five Industrial units of each Priority Sector which commence fixed capital investment and go into production during the operative period of IPR – 2015(Defined under the provision of Para-16 of Definitions and Interpretations of Annexure-I of Industrial Policy Resolution, 2015).

6. Eligibility:

- **6.1** The first five Industrial units of each Priority Sector as below which commence fixed capital investment and go into production during the operative period of IPR 2015
- (a) Agro and Food Processing
- (b) Ancillary and down stream
- (c) Automobiles & Auto components
- (d) Manufacturing in Aviation and Maintenance Repair & Overhaul (MRO) facilities
- (e) Bio- technology
- (f) Fly Ash & Blast furnaces slag based industries utilizing a minimum of 25% by weight as base raw material
- (g) Gem stone cutting and polishing
- (h) Handicraft, Handloom, Coir and Leather Products
- (i) Information technology and IT enable service and ESDM units
- (j) Petroleum, Chemicals & Petro-chemicals
- (k) Pharmaceuticals
- (I) Sea food Processing

- (m)Ship building and construction of other floating vessels / ship repair
- (n) Textile including Technical Textile & Apparel
- (o) Tourism and Hospitality (All the units / activities specified in Para 6.11 of Odisha Tourism Policy- 2013 are eligible units except Multiplexes (Cinema halls) of at least three (3) screens at SI. No. p(iv) of Annexure I as defined in Operational Guidelines of Priority Sector)
- (p) Any industry other than mineral extraction and mineral based industries, which export more than 50% of its total turnover, duly certified by the Director, Export Promotion and Marketing.
- (q) Migrated industrial unit treated as new industrial unit under Priority Sector.
- (r) Rehabilitated sick industrial unit treated at par with new industrial unit under Priority sector.
- (s) Industrial unit seized under Section 29 of the State Financial Corporation Act, 1951 / SARFAESI Act, 2002 and thereafter sold to a new entrepreneur on sale of assets basis and treated as new industrial unit for the purpose of this IPR under Priority Sector.
- (t) Non-mineral based new industrial units located in the Revenue districts of Kalahandi,
 Nuapada, Bolangir, Subarnpur, Koraput, Malkangiri, Rayagada, Nawrangpur,
 Khandamal, Gajapati and Mayurbhanj with minimum investment of five crore rupees
 in plant & machinery
- 6.2 Industrial units satisfying the provisions of Para16 of Definitions and Interpretations of Annexure -I of Industrial Policy Resolution 2015 out of which first five industrial units in terms of chronology of commencement of production only shall be granted status of Pioneer units.
- 6.3 If, more than five industrial units in each Priority Sector have commenced investment on different dates and go into production on a single date during the operative period of this IPR, the unit(s) which has / have started investment chronologically in later date(s) shall not be considered for grant of status of Pioneer unit
- 6.4 If, more than five industrial units in each Priority Sector have commenced investment on a single date and go into production on a single date during the operative period of this IPR or on arise of any other contingencies, the decision of Government in Industries Department in this regard shall be final and binding on all concerned as under the provisions of Para 14 (h) of Industrial Policy Resolution -2015.

7. Time frame for filing application:

7.1 Eligible industrial units shall file its claim for grant of Pioneer unit status complete in all respect, at the same time or before or after filing its claim for grant of Priority Sector status, within six months from the date of starting production.

Application in the prescribed form received after the due date / incomplete in any respect shall be liable to be summarily rejected.

There shall be no consideration for condonation of delay in filing application.

8. Procedure:-

- 8.1 Industrial unit satisfying the eligibility criteria shall file application in the prescribed form appended to this operational guideline at Annexure -'A' along with copies of all relevant documents as mentioned in the Checklist at Annexure -'B' to the concerned General Manager, RIC / DIC in duplicate within the prescribed time limit.
 - Copies of the documents as indicated in the checklist shall be self attested by Proprietor / Managing Partner / Managing Director / Authorized Signatory.
- 8.2 On receipt of application, the acknowledgement as prescribed at Annexure- 'C' shall be dispatched to the applicant duly signed by the authorized officer / General Manager, RIC / DIC on the day of receipt. General Manager, RIC / DIC may authorize any of his officers for the purpose.
- 8.3 After receipt of the application from the industrial unit, the concerned RIC / DIC will check the eligibility and veracity of facts concerning the claim, determine the first date of fixed capital investment, date of commercial production, IPR applicability,& may visit the industrial unit with financer to ascertain the working status and report in the prescribed format at Annexure- 'D' along with certificate of verification in the format prescribed at Annexure -E and recommend one set of application with all supporting documents to the Director of Industries, Odisha within next 7 days from the date of receipt of application of the unit under intimation to the IPICOL (in case of Large Industries) / applicant unit / and to the all other RICs & DICs.
- 8.4 General Manager, RIC / DIC shall preferably recommend the aforesaid application along with application for grant of Priority Sector Certificate and or for issue of Production Certificate (in case of large Industries) in favour of the unit.

- 8.5 On receipt of application supported with relevant documents from the RIC / DIC, the Directorate of Industries, Odisha, on the same day, shall ask all other RIC / DICs in the format prescribed at Annexure -F, to report in the format prescribed at Annexure -G, if any such proposal is received at their level / available with them under the specified category of Priority Sector to be considered for grant of Pioneer units status within next 7 days positively. RIC / DIC should furnish intimation and process such proposal (s) and recommend the same supported with relevant documents in the manner prescribed at Para -8.3 &8.4 as above.
- 8.6On receipt of reply from all RIC / DICs, Director of Industries, Odisha shall examine the proposals and issue Pioneer Units Certificate in the prescribed format at Annexure- 'H', if found eligible within next 10 days.
- 8.7After issue of certificate to five Pioneer units on each Priority Sector, no further proposal will be considered.

9. Rejection:-

- 9.1In case of rejection of application at RIC / DIC / DI Odisha, the same will be communicated by RIC / DIC / DI, Odisha assigning the reasons to the applicant unit as prescribed in format at Annexure- 'I',
- 9.2Where an industrial unit has issued with Pioneer Unit Certificate by misrepresentation, fraud or by furnishing false & misleading information or by suppressing facts / materials, or violates the criteria of eligibility or for any valid reason the said Certificate shall be cancelled by the Authority (D.I, Odisha). In such cases, the incentives administered, if any, shall be recovered from the unit under the provisions of respective schemes.

10. Miscellaneous:-

- 10.1 RIC / DIC / IPICOL / D. I Odisha may inspect the industrial unit as & when required by the authority.
- 10.2 Any change in facts or circumstances affecting the eligibility of the unit shall be intimated immediately to the RIC / DIC / IPICOL / Directorate of Industries, Odisha by the unit.
- 10.3 Where any doubt arises regarding implementation of these rules, the same shall be referred to Government of Odisha in the Industries Department whose decision shall be final and binding on all the parties.

10.4 The industrial unit may furnish information, reports, periodical statements etc to the RIC / DIC / IPICOL / Directorate of Industries, Odisha as and when required.

10.5 Time limit prescribed in this guidelines are of working days only.

11. This has been concurred in by Finance Department vide their UOR No. 127-ES-II/F, dated 10.06.2015.

GOVERNMENT OF ODISHA INDUSTRIES DEPARTMENT

Memo No. 5927 /I., Bhubaneswar, dated 2/9/2015. XIV-HI-34/2015

Copy forwarded to All Departments/ All Heads of Departments/ All PSUs/ All Revenue Divisional Commissioners/ All Collectors/ All DICs/ All RICs/ Head, State Portal Group, IT Centre, Secretariat, Bhubaneswar/ All Sections of Industries Department/ Guard File (5 copies) for information and necessary action.

Joint Secretary to Government

APPLICATION BY INDUSTRIAL UNIT FOR GRANT OF PIONEER UNIT STATUS UNDER INDUSTRIAL POLICY RESOLUTION 2015.

(Strike out whichever is not applicable)

M/s. _____

At/PO _____

From

	То	(Location / proposed location of the Indu	ustrial Unit)	
		The General Manager,		
		Regional Industries Centre / District	Industries Cent	tre
Sub	: Appli 2015	cation for issue of Pioneer Unit cert	ificate under In	dustrial Policy Resolution
Sir,				
	In ac	cordance with the provisions laid dow	n in the Industr	ial Policy Resolution 201
and		rational guidelines for grant of Pionee		
	ollows-			o, reasonic the application
1		ss of Registered office	:	
2	Categ	ory of the Unit (Micro / Small / Medium rises / Large Industries)		
3		of Organization (Proprietorship /		
0		rship / Co-operative / Private Limited /	8	
		Limited)		
4		of Proprietor / Managing Partner /		•
		ging Director / Authorized Signatory		
5		I/IEM/IL Number & Date		
6	Date	of first fixed capital investment (i.e.		
	investm	ent in land / building / plant & machinery and		
7		ng equipment).		
7	Activity	of Commencement of Production /		
8	Produ	ction certificate, No. and date		
	(in cas	se of Large Industry)		
9	Items	s of Production / Activity		
	SI.	Item	Quantity	Value (Rs)
	No		(MT)	
	(i)			
	(ii)			
	(iii)			
10		yment generated		
11	Capita	I Investment (Rs)	As per DPR	Actual expenditure incurred (till date)

	(a)	Land & land	development				
	b)		ivil construction	MO I			
	c)	Electrification	on & electrical installat	ions	234.96		
	d)	Plant & Mad				NAME OF STREET	even to paint to
	e)		assets of permanent				
	f)		loading, transportation				
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	g)	Total	ney for Working Capita	aı		100000000000000000000000000000000000000	China 1998
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12		ernal source					
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	IN	arrie or i iriar	Name of F. I	7		Loan	Date of sanction
			Name of F. I	Loan ap for (R	•	availed (Rs)	(in case of more than one installment give dates for each of them)
	i	Term loan					
	ii	Working capital	speki o mediavo una mimatal bac	sell to etc			mucati di hasi m
13	cle	nether the pr	oject has obtained State Pollution			ite issued by OSPCB)	
14	Se	ctor Certifica	d with Priority ate under Odisha / Resolution –2015		furnish		same. If applied, furnish
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CHECK LIST

(Strike out whichever is not applicable)

(Copies of documents to be attached with the application shall be attested by Proprietor / Managing Partner/Managing Director / Authorized Signatory)

1	Power of Attorney / Board Resolution / Society Resolution, as applicable, while
	signing as Partner / Managing Director / Authorized person.
2	Certificate of registration under Indian Partnership Act-1932 / Societies
	Registration Act- 1860 / Certificate of incorporation (Memorandum of Association & Article of Association) under Company Act-1956
3	E M- II / I E M / I L.
4	Production certificate (in case of Large Industry)
5	Document in support of date of first investment in fixed capital i.e. first investment in land / building / plant & machinery and balancing equipment
6	Loan sanction order
7	Assessment report (Industrial units set up without financial assistance from Public Financial Institutions and or Banks will be required to be assessed by the appropriate agency as envisaged under Para- h – of IPR-20105).
8	Documents in support of Fixed Capital Investment ie Land ,building plant and machinery and balancing equipment
9	Clearance from Pollution Control Board and Statutory clearances
10	Copy of Priority Sector Certificate
11	Document in support of delay in implementation condoned by Empowered Committee

with seal & date-----

		OFFICE OF THE	GENERA	L MANA	AGER, RIC / D		COFFICE	USE)
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INSPECTION REPORT

(Strike out whichever is not applicable)

	b) Building &Civil construction c) Electrification & electrical installations d) Plant & Machinery				
	d) Plant & Machinery				
	e) Other fixed assets of permanent nature				
	f) Loading, unloading, transportation, tax &				
	duties paid, erection expenses etc			A1	
4.4	Total				
11	I / We have physically verified the project and t	he recor	ds & regist	ers maintaine	d by the unit &
12	found that the unit has acquired fixed assets of o	cost o / v	alue as ind	icated above.	
	Date of Commencement of Production / Ac	tivity			
13	Installed Capacity		Items	Quantity	Value
14	Production certificate No. & Date				
15	Priority Sector Certificate No		Da	ate of issue-	
16	It is verified that the applicant unit has availed fo	llowing in	ncentives.		
	(specify the quantum, value , period & IPR app	licability)		
	1.				
47	2.			24121	
17	Present working status		120 100 0		
18	Remark (s)				

Certificate of Verification.

(Strike out whichever is not applicable)

	Certified that the information furnished by M/s.	_ b	earing EM-II / I E M /
ILR	egistration Number Date located at		- Thasil / Block
Dist-	and engaged in production of activities / manuf	actu	ire of items as below
and I	has started production with effect from during the c	per	ative period of IPR -
2015	and has duly been issued with Priority Sector certificate / sat	isfy	the criteria of Priority
Secto	or.		
(a)	Activities / items of production with NIC-2004 /ASICC code	Va	- (S.H.WARCOT)
(b)	Sector (a) Agro and Food Processing (b) Ancillary and down stream (c) Automobiles & Auto – components (d) Manufacturing in Aviation and Maintenance Repair & Overhaul (MRO) facilities (e) Bio- technology (f) Fly Ash & Blast furnaces slag based industries utilizing a minimum of 25% by weight as base raw material (g) Gem stone cutting and polishing (h) Handicraft, Handloom, Coir and Leather Products (i) Information technology and IT enable service and ESDM units (j) Petroleum, Chemicals & Petro-chemicals (k) Pharmaceuticals (l) Sea food Processing (m) Ship building and construction of other floating vessels / ship repair (n) Textile including Technical Textile & Apparel (o) Tourism and Hospitality (All the units / activities specified in Para 6.11 of Odisha Tourism Policy- 2013 are eligible units) (p) Any industry other than mineral extraction and mineral based industries, which export more than 50% of its total turnover, duly certified by the Director, Export Promotion and Marketing. (q) Migrated industrial unit treated as new industrial unit under Priority Sector. (r) Rehabilitated sick industrial unit treated at par with new industrial unit under Priority sector. (s) Industrial unit seized under Section 29 of the State Financial Corporation Act, 1951 / SARFAESI Act, 2002 and thereafter sold to a new entrepreneur on sale of assets basis and treated as new		
	industrial unit for the purpose of this IPR under Priority Sector. (t) Non-mineral based new industrial units located in the Revenue districts of Kalahandi, Nuapada, Bolangir, Subarnpur, Koraput, Malkangiri, Rayagada, Nawrangpur, Khandamal, Gajapati and Mayurbhanj with minimum investment of five crore rupees in plant & machinery		
(c)	Capital investment in Rs (actual)	•	
(d)	Prioritý Sector Certificate	:	No & Date

- 2. The unit has made first fixed capital investment on ----- (date) & have gone in to production within three years from the date of starting first fixed capital investment.
- 3. The unit has obtained all the statutory clearances like Odisha State Pollution Control Board, -----etc.
- The unit is determined under the provisions of IPR-2015 and does not included / included in the activities enlisted at SI-3 SCHEDULE- Annexure II of IPR 2015.

As such, the Industrial unit may be declared as a Pioneer unit under IPR – 2015 as defined in Para – 16 of *Definitions and Interpretations of Annexure -I of Industrial Policy Resolution* – 2015 and necessary certificate to this effect may be issued

General	Manager,	RIC./	DIC	
General	ivialiauel.	MIC /	DIC	

OFFICE OF THE DIRECTOR OF INDUSTRIES, ODISHA, CUTTACK

(Strike out whichever is not applicable)
Letter No. Dt.

From	
	Sri
	Director of Industries, Odisha, Cuttack.
To	
offic bear	All General Manager,
	Regional Industries Centre / District Industries Centre
	(Except RIC / DIC)
Sub-	Grant of Pioneer unit status under the provisions of IPR-2015.
Sir,	Perente du de la
	This is to inform that RIC / DIC has recommended the application of M/s
	AtDistwith copies
of roa	uired documents for grant of Pioneer unit status. The details of the unit are as mentioned
orreq	uned documents for grant of Florieer unit status. The details of the unit are as mentioned
below	
1.	Date of first fixed capital investment-
2.	
3.	
4.	Micro Enterprise / Small Enterprise / Medium Enterprise / Large Industry.
5.	Project is coming under Priority Sector(Defined under Para- 17 of Definitions and
	Interpretations of Annexure -I of Industrial Policy Resolution - 2015) as below (Strike out
	whichever is not applicable)
	gro and Food Processing
	ncillary and down stream
	utomobiles & Auto – components
	lanufacturing in Aviation and Maintenance Repair & Overhaul (MRO) facilities io- technology
(e) B	ly Ash & Blast furnaces slag based industries utilizing a minimum of 25% by weight as base raw
	naterial
	Sem stone cutting and polishing
(h) H	andicraft, Handloom, Coir and Leather Products
(i) Ir	nformation technology and IT enable service and ESDM units
	etroleum, Chemicals & Petro-chemicals
, ,	harmaceuticals
	lastics & Polymers lea food Processing
	thip building and construction of other floating vessels / ship repair
	extile including Technical Textile & Apparel
(p) T	ourism and Hospitality (All the units / activities specified in Para 6.11 of Odisha Tourism Policy- 2013
а	re eligible units)
(q) A	ny industry other than mineral extraction and mineral based industries, which export more than 50% of

its total turnover, duly certified by the Director, Export Promotion and Marketing.
(r) Migrated industrial unit treated as new industrial unit under Priority Sector.

(s) Rehabilitated sick industrial unit treated at par with new industrial unit under Priority sector.

(t) Industrial*unit seized under Section 29 of the State Financial Corporation Act, 1951 / SARFAESI Act, 2002 and thereafter sold to a new entrepreneur on sale of assets basis and treated as new industrial unit for the purpose of this IPR under Priority Sector.

- (u) Non-mineral based new industrial units located in the Revenue districts of Kalahandi, Nuapada, Bolangir, Subarnpur, Koraput, Malkangiri, Rayagada, Nawrangpur, Khandamal, Gajapati and Mayurbhanj with minimum investment of five crore rupees in plant & machinery
 - 6. Certificate of Priority Sector has been issued vide Order No ----- Dt------ Dt------

As such, you are requested to report if any such proposal is received at your level / available with you under the above specified category of Priority Sector to be considered for grant of Pioneer unit status within **07 days** positively.

Besides, you are requested to process such proposal (s) and recommend the same supported with relevant documents in the manner prescribed at Para -8.3 & 8.4 of the Operational guidelines.

In case of any sort of negligence caused at the level of RIC / DIC in furnishing the report as required under Para-8.5 of the Operational guidelines, the concerned General Manager, RIC / DIC may be held squarely responsible for any difficulties / contingencies arises in context of grant of Pioneer unit status in favour of any industrial unit (s).

Yours faithfully,

Director of Industries, Odisha

Annexure -G

OFF	CENTRE
	Letter No. Dt.
From	
	SriGeneral Manager,
То	Regional Industries Centre / District Industries Centre,
	The Director of Industries, Odisha, Cuttack,
Sub-	Grant of Pioneer unit status under the provisions of IPR-2015.
Ref-	Directorate of Industries, Odisha Lt. No Dated
Sir,	Inviting reference to the letter cited above on the captioned subject, I am to say that (Strike ichever is not applicable)
Sector	such proposal has so far been received at RIC / DIC under the specified category of Priority (mention the Sector) to be considered for grant of Pioneer unit status under Industrial Policy ation 2015 yet.

0

Or
----- No of proposal has so far been received at RIC / DIC under the specified category of Priority Sector ((mention the Sector) to be considered for grant of Pioneer unit status under

The same as mentioned in the table indicated below are in process at RIC / DIC and shall be recommended with copies of relevant documents in the manner prescribed at Para -8.3 & 8.4 of the Operational guidelines if otherwise found eligible.

Industrial Policy Resolution 2015.

tte of Activity / Category Whether satisfy the
Production / Priority criteria of Pioneer Unit status
5 6 7 8

Yours faithfully,

General Manager, RIC / DIC

Annexure -H

Order NoDt
CERTIFICATE OF PIONEER UNIT
(Strike out whichever is not applicable)
(a) This is to certify that M/s located At P.(Thasil / Block Dist engaged is manufacturing of with an installed capacity of per annum per annum which has obtained IEM / II bearing No.
manufacturing of with an installed consists of engaged in
which has obtained IFM / II hearing No.
which has obtained IEM / IL bearing No Dt / EM- Part -II bearing No Dt of Directors of Industries. Odisha and has represented by the production certificate vide No Dt of Directors of Industries.
of Industries, Odisha and has gone into production with effect from and issued with Cortificate of Priority Sectors and Priority
issued with Certificate of Priority Sector vide Director of Industries Order No Dt
under (Mention the Sector) Agro and Food Processing / Ancillary and downstream / Automobiles
Auto - components / Manufacturing in Aviation and Maintenance Repair & Overhaul (MRO) facilities
Bio- technology / Fly Ash & Blast furnaces slag based industries utilizing a minimum of 25% by weight a
base raw material / Gem stone cutting and polishing / Handicraft, Handloom, Coir and Leather Products Information technology and IT enable service and ESDM units / Petroleum, Chemicals & Petroleum,
chemicals / Pharmaceuticals / Sea food Processing / Ship building and construction of other floating
vessels / snip repair / Textile including Technical Textile & Apparel / Tourism and Hospitality / All the
units / activities specified in Para 6.11 of Odisha Tourism Policy- 2013 are eligible units) / Any industriction other than mineral extraction and mineral based industries, which export more than 50% of its total
turnover, duly certified by the Director, Export Promotion and Marketing / Migrated industrial unit treater
as new industrial unit under Priority Sector / Rehabilitated sick industrial unit treated at par with new
industrial unit under Priority sector / Industrial unit seized under Section 29 of the State Financia
Corporation Act, 1951 / SARFAESI Act, 2002 and thereafter sold to a new entrepreneur on sale of assets basis and treated as new industrial unit for the purpose of this IPR under Priority Sector / Non
mineral based new industrial units located in the Revenue districts of Kalahandi, Nuapada, Bolandir
Subarnpur, Koraput, Malkangiri, Rayagada, Nawrangpur, Khandamal, Gajapati and Mayurbhani witi
minimum investment of five crore rupees in plant & machinery
and fulfills the criteria of eligibility prescribed under Para - 16 &17 of Definitions and
Interpretations of Annexure -I of Industrial Policy Resolution - 2015as first / second / third
fourth / fifth Pioneer unit under Sector
This Industrial unit may be eligible to avail incentives / concessions as applicable to the
Pioneer units under provisions of para 4.3 (i) of IPR – 2015, if otherwise found eligible.
This Industrial unit may not be eligible to avail incentives / concessions as applicable to
the Pioneer units as it is made ineligible under the provisions of IPR – 2015.
2010.
Director of Industries, Odisha
Memo No/Ind. dt.
Copy forwarded to M/sProp./ Managing Director / Mg.
Partner SriAt / PoDistfor information & necessary
action.
Discount of the destrict O. P. I.
Director, of Industries, Odisha
Memo No/Ind. Dt.
Copy forwarded to Managing Director, IPICOL for information & necessary action.
Director of Industries, Odisha
Memo No/Ind. Dt.
Copy forwarded to General Manager, RIC / DICfor information & necessary
action with reference to his letter NoDt
Director of Industries, Odisha

OFFICE OF THE GENERAL MANAGER, RIC / DIC-----/ DIRECTORATE OF INDUSTRIES, ODISHA, CUTTACK. No ----- Dt.-----(Strike out whichever is not applicable) To M/s. _____ At/PO Dist. (Location / proposed location of the Industrial Unit) This is to inform that the application for grant of Pioneer unit status filed by M / S bearing Regn. No ____ Dt____ on dt.____ under the provisions of IPR-2015 is rejected due to following reasons. (Specify the reasons) 1. 2. 3. General Manager, RIC / DIC / D. I, Odisha with seal & date-----Memo No. /Ind. Dt. Copy forwarded to General Manager, RIC / DIC-----/ Director of Industries, Odisha for information & necessary action. General Manager, RIC / DIC / D. I, Odisha Memo No._____/Ind. Dt. Copy forwarded to Managing Director, IPICOL, IPICOL House, Janpath,

Bhubaneswar for favour of information.

General Manager, RIC / DIC / D. I, Odisha